TRANSACTIONS OF THE AMERICAN MATHEMATICAL SOCIETY Volume 355, Number 3, Pages 925–933 S 0002-9947(02)03132-X Article electronically published on November 5, 2002

# SOME PROPERTIES OF THE SCHOUTEN TENSOR AND APPLICATIONS TO CONFORMAL GEOMETRY

PENGFEI GUAN, JEFF VIACLOVSKY, AND GUOFANG WANG

ABSTRACT. The Riemannian curvature tensor decomposes into a conformally invariant part, the Weyl tensor, and a non-conformally invariant part, the Schouten tensor. A study of the kth elementary symmetric function of the eigenvalues of the Schouten tensor was initiated in an earlier paper by the second author, and a natural condition to impose is that the eigenvalues of the Schouten tensor are in a certain cone,  $\Gamma_k^+$ . We prove that this eigenvalue condition for  $k \geq n/2$  implies that the Ricci curvature is positive. We then consider some applications to the locally conformally flat case, in particular, to extremal metrics of  $\sigma_k$ -curvature functionals and conformal quermassintegral inequalities, using the results of the first and third authors.

## 1. Introduction

Let  $(M^n, g)$  be an *n*-dimensional Riemannian manifold,  $n \geq 3$ , and let the Ricci tensor and scalar curvature be denoted by Ric and R, respectively. We define the Schouten tensor

$$A_g = \frac{1}{n-2} \left( Ric - \frac{1}{2(n-1)} Rg \right).$$

There is a decomposition formula (see [1]):

(1) 
$$Riem = A_g \odot g + W_g,$$

where  $W_g$  is the Weyl tensor of g, and  $\odot$  denotes the Kulkarni-Nomizu product (see [1]). Since the Weyl tensor is conformally invariant, to study the deformation of the conformal metric, we only need to understand the Schouten tensor. A study of k-th elementary symmetric functions of the Schouten tensor was initiated in [13], it was reduced to certain fully nonlinear Yamabe type equations. In order to apply the elliptic theory of fully nonlinear equations, one often restricts the Schouten tensor to be in a certain cone  $\Gamma_k^+$ , defined as follows (according to Gårding [5]).

**Definition 1.** Let  $(\lambda_1, \dots, \lambda_n) \in \mathbf{R}^n$ . Let  $\sigma_k$  denote the kth elementary symmetric function

$$\sigma_k(\lambda_1, \cdots, \lambda_n) = \sum_{i_1 < \cdots < i_k} \lambda_{i_1} \cdots \lambda_{i_k},$$

Received by the editors April 19, 2002.

2000 Mathematics Subject Classification. Primary 53C21; Secondary 35J60, 58E11.

Key words and phrases.  $\Gamma_k\text{-curvature},$  Ricci curvature, conformal deformation.

Research of the first author was supported in part by NSERC Grant OGP-0046732.

Research of the second author was supported in part by an NSF Postdoctoral Fellowship.

and let

$$\Gamma_k^+ = \text{component of } \{\sigma_k > 0\} \text{ containing } (1, \dots, 1).$$

Let  $\bar{\Gamma}_k^+$  denote the closure of  $\Gamma_k^+$ . If (M,g) is a Riemannian manifold, and  $x\in M$ , we say g has positive (nonnegative, resp.)  $\Gamma_k$ -curvature at x if its Schouten tensor  $A_g\in \Gamma_k^+$  ( $\bar{\Gamma}_k^+$ , resp.) at x. In this case, we also say  $g\in \Gamma_k^+$  ( $\bar{\Gamma}_k^+$ , resp.) at x.

We note that positive  $\Gamma_1$ -curvature is equivalent to positive scalar curvature, and the condition of positive  $\Gamma_k$ -curvature has some geometric and topological consequences for the manifold M. For example, when (M,g) is locally conformally flat with positive  $\Gamma_1$ -curvature, then  $\pi_i(M) = 0, \forall 1 < i \leq \frac{n}{2}$ , by a result of Schoen and Yau [11]. In this note, we will prove that positive  $\Gamma_k$ -curvature for any  $k \geq \frac{n}{2}$  implies positive Ricci curvature.

**Theorem 1.** Let (M,g) be a Riemannian manifold and  $x \in M$ . If g has positive (nonnegative, resp.)  $\Gamma_k$ -curvature at x for some  $k \geq n/2$ , then its Ricci curvature is positive (nonnegative, resp.) at x. Moreover, if the  $\Gamma_k$ -curvature is nonnegative for some k > 1, then

$$Ric_g \ge \frac{2k-n}{2n(k-1)}R_g \cdot g.$$

In particular, if  $k \geq \frac{n}{2}$ , then

$$Ric_g \ge \frac{(2k-n)(n-1)}{(k-1)} \binom{n}{k}^{-\frac{1}{k}} \sigma_k^{\frac{1}{k}} (A_g) \cdot g.$$

*Remark.* Theorem 1 is not true for k = 1. Namely, the condition of positive scalar curvature gives no restriction on the lower bound of the Ricci curvature.

Corollary 1. Let  $(M^n, g)$  be a compact, locally conformally flat manifold with nonnegative  $\Gamma_k$ -curvature everywhere for some  $k \geq n/2$ . Then (M, g) is conformally equivalent to either a space form or a finite quotient of a Riemannian  $\mathbf{S}^{n-1}(c) \times \mathbf{S}^1$  for some constant c > 0 and k = n/2. In particular, if  $g \in \Gamma_k^+$ , then (M, g) is conformally equivalent to a spherical space form.

When n = 3, 4, the result in Theorem 1 was already observed in [9] and [2]. Theorem 1 and Corollary 1 will be proved in the next section.

We will also consider the equation

(2) 
$$\sigma_k(A_{\tilde{a}}) = constant,$$

for conformal metrics  $\tilde{g}=e^{-2u}g$ . This equation was studied in [13], where it was shown that when  $k\neq n/2$ , (2) is the conformal Euler-Lagrange equation of the functional

(3) 
$$\mathcal{F}_k(g) = \operatorname{Vol}(g)^{-\frac{n-2k}{n}} \int_M \sigma_k(g) \, d\operatorname{vol}(g),$$

when k = 1, 2 or for k > 2 when M is locally conformally flat. We remark that in the even-dimensional locally conformally flat case,  $\mathcal{F}_{n/2}$  is a conformal invariant. Moreover, it is a multiple of the Euler characteristic, see [13].

This problem was further studied in [7], where the following conformal flow was considered:

$$\frac{d}{dt}g = -(\log \sigma_k(g) - \log r_k(g)) \cdot g,$$
  

$$g(0) = g_0,$$

where

$$\log r_k = \frac{1}{\operatorname{Vol}(g)} \int_M \log \sigma_k(g) d\operatorname{vol}(g).$$

Global existence with uniform  $C^{1,1}$  a priori bounds of the flow was proved in [7]. It was also proved that for  $k \neq n/2$  the flow is sequentially convergent in  $C^{1,\alpha}$  to a  $C^{\infty}$  solution of  $\sigma_k = constant$ . Also, if k < n/2, then  $\mathcal{F}_k$  is decreasing along the flow, and if k > n/2, then  $\mathcal{F}_k$  is increasing along the flow. We remark that the existence result for equation (2) has been obtained independently in [10] in the locally conformally flat case for all k.

In Section 3, we will consider global properties of the functional  $\mathcal{F}_k$ , and give conditions for the existence of a global extremizer. We will also derive some conformal quermassintegral inequalities, which are analogous to the classical quermassintegral inequalities in convex geometry.

#### 2. Curvature restriction

We first state a proposition which describes some important properties of the sets  $\Gamma_k^+$ .

**Proposition 1.** (i) Each set  $\Gamma_k^+$  is an open convex cone with vertex at the origin, and we have the following sequence of inclusions:

$$\Gamma_n^+ \subset \Gamma_{n-1}^+ \subset \cdots \subset \Gamma_1^+$$
.

(ii) For any 
$$\Lambda = (\lambda_1, \dots, \lambda_n) \in \Gamma_k^+$$
  $(\bar{\Gamma}_k^+, resp.), \forall 1 \leq i \leq n, let$   
 $(\Lambda|i) = (\lambda_1, \dots, \lambda_{i-1}, \lambda_{i+1}, \dots, \lambda_n).$ 

Then  $(\Lambda|i) \in \Gamma_{k-1}^+$  ( $\bar{\Gamma}_{k-1}^+$ , resp.). In particular,

$$\Gamma_{n-1}^+ \subset V_{n-1}^+ = \{(\lambda_1, \cdots, \lambda_n) \in \mathbf{R}^n : \lambda_i + \lambda_j > 0, i \neq j\}.$$

The proof of this proposition is standard, following from [5].

Our main results are consequences of the following two lemmas. In this note, we assume that k > 1.

**Lemma 1.** Let  $\Lambda = (\lambda_1, \lambda_2, \dots, \lambda_{n-1}, \lambda_n) \in \mathbb{R}^n$ , and define

$$A_{\Lambda} = \Lambda - \frac{\sum_{i=1}^{n} \lambda_i}{2(n-1)} (1, 1, \dots, 1).$$

If  $A_{\Lambda} \in \bar{\Gamma}_k^+$ , then

(4) 
$$\min_{i=1,\dots,n} \lambda_i \ge \frac{(2k-n)}{2n(k-1)} \sum_{i=1}^n \lambda_i.$$

In particular, if  $k \geq \frac{n}{2}$ , then

$$\min_{i=1,\cdots,n} \lambda_i \geq \frac{(2k-n)(n-1)}{(n-2)(k-1)} \binom{n}{k}^{-\frac{1}{k}} \sigma_k^{\frac{1}{k}}(A_{\Lambda}).$$

Proof. We first note that, for any nonzero vector  $A = (a_1, \dots, a_n) \in \overline{\Gamma}_2^+$  we have  $\sigma_1(A) > 0$ . This can be proved as follows. Since  $A \in \overline{\Gamma}_2^+$ ,  $\sigma_1(A) \ge 0$ . If  $\sigma_1(A) = 0$ , there must be an  $a_i > 0$  for some i, since A is a nonzero vector. We may assume  $a_n > 0$ . Let  $(A|n) = (a_1, \dots, a_{n-1})$ ; we have  $\sigma_1(A|n) \ge 0$  by Proposition 1. This would give  $\sigma_1(A) = \sigma_1(A|n) + a_n > 0$ , a contradiction.

Now without loss of generality, we may assume that  $\Lambda$  is not a zero vector. By the assumption  $A_{\Lambda} \in \bar{\Gamma}_k^+$  for  $k \geq 2$ , so we have  $\sum_{i=1}^n \lambda_i > 0$ .

Define

$$\Lambda_0 = (1, 1, \cdots, 1, \delta_k) \in \mathbb{R}^{n-1} \times \mathbb{R};$$

then we have  $A_{\Lambda_0} = (a, \dots, a, b)$ , where

$$\delta_k = \frac{(2k-n)(n-1)}{2nk-2k-n},$$

$$a = 1 - \frac{n-1+\delta_k}{2(n-1)}, \quad b = \delta_k - \frac{n-1+\delta_k}{2(n-1)},$$

so that

(5) 
$$\sigma_k(A_{\Lambda_0}) = 0$$
 and  $\sigma_j(A_{\Lambda_0}) > 0$  for  $j \le k - 1$ .

It is clear that  $\delta_k < 1$ , and so a > b. Since (4) is invariant under the transformation from  $\Lambda$  to  $s\Lambda$  for s > 0, we may assume that  $\sum_{i=1}^{n} \lambda_i = \operatorname{tr}(\Lambda_0) = n - 1 + \delta_k$  and  $\lambda_n = \min_{i=1,\dots,n} \lambda_i$ . We write

$$A_{\Lambda}=(a_1,\cdots,a_n).$$

We claim that

$$(6) \lambda_n \geq \delta_k.$$

This is equivalent to showing

$$(7) a_n \ge b.$$

Assume for a contradiction that  $a_n < b$ . We consider  $\Lambda_t = t\Lambda_0 + (1-t)\Lambda$  and

$$A_t := A_{\Lambda_t} = tA_{\Lambda_0} + (1 - t)A_{\Lambda}$$
  
=  $((1 - t)a + ta_1, \dots, (1 - t)a + ta_{n-1}, (1 - t)b + ta_n).$ 

By the convexity of the cone  $\Gamma_k^+$  (see Proposition 1), we know that

$$A_t \in \bar{\Gamma}_k^+$$
, for any  $t \in (0,1]$ .

In particular,  $f(t) := \sigma_k(A_t) \ge 0$  for any  $t \in [0,1]$ . By the definition of  $\delta_k$ , f(0) = 0. For any i and any vector  $V = (v_1, \dots, v_n)$ , we denote by

$$(V|i) = (v_1, \cdots, v_{i-1}, v_{i+1}, \cdots, v_n)$$

the vector with the i-th component removed. Now we compute the derivative of f at 0:

$$f'(0) = \sum_{i=1}^{n-1} (a_i - a)\sigma_{k-1}(A_0|i) + (a_n - b)\sigma_{k-1}(A_0|n).$$

Since  $(A_0|i) = (A_0|1)$  for  $i \le n-1$  and  $\sum_{i=1}^n a_i = (n-1)a + b$ , we have

$$f'(0) = (a_n - b)(\sigma_{k-1}(A_0|n) - \sigma_{k-1}(A_0|1)) < 0,$$

for  $\sigma_{k-1}(A_0|n) - \sigma_{k-1}(A_0|1) > 0$ . (Recall that b < a.) This is a contradiction; hence  $\lambda_n \ge \delta_k$ . It follows that

$$\min_{i=1,\dots,n} \lambda_i \ge \delta_k = \frac{2k-n}{2n(k-1)} \sum_{i=1}^n \lambda_i.$$

Finally, the last inequality in the lemma follows from the Newton-MacLaurin inequality.  $\hfill\Box$ 

Remark. It is clear from the above proof that the constant in Lemma 1 is optimal.

We next consider the case  $A_{\Lambda} \in \bar{\Gamma}_{\frac{n}{\alpha}}^+$ .

**Lemma 2.** Let k = n/2 and  $\Lambda = (\lambda_1, \dots, \lambda_n) \in \mathbb{R}^n$  with  $A_{\Lambda} \in \overline{\Gamma}_k^+$ . Then either  $\lambda_i > 0$  for any i, or

$$\Lambda = (\lambda, \lambda, \cdots, \lambda, 0)$$

up to a permutation. If the second case is true, then we must have  $\sigma_{\frac{n}{2}}(A_{\Lambda}) = 0$ .

*Proof.* By Lemma 1, to prove the Lemma we only need to check that for  $\Lambda = (\lambda_1, \dots, \lambda_{n-1}, 0)$  with  $A_{\Lambda} \in \bar{\Gamma}_k^+$ ,

$$\lambda_i = \lambda_i, \quad \forall i, j = 1, 2, \cdots, 2k - 1.$$

We use the same idea as in the proof of the previous Lemma. Without loss of generality, we may assume that  $\Lambda$  is not a zero vector. By the assumption  $A_{\Lambda} \in \bar{\Gamma}_k^+$  for  $k \geq 2$ , we have  $\sum_{i=1}^{n-1} \lambda_i > 0$ . Hence we may assume that  $\sum_{i=1}^{n-1} \lambda_i = n-1$ . Define

$$\Lambda_0 = (1, 1, \dots, 1, 0) \in \mathbb{R}^n$$
.

It is easy to check that

(8) 
$$A_{\Lambda_0} \in \Gamma_{k-1}^+ \text{ and } \sigma_k(A_{\Lambda_0}) = 0.$$

That is,  $A_{\Lambda_0} \in \bar{\Gamma}_k^+$ . If the  $\lambda$ 's are not all the same, we have

$$\sum_{i=1}^{n-1} (\lambda_i - 1) = 0$$

and

$$\sum_{i=1}^{n-1} (\lambda_i - 1)^2 > 0.$$

Now consider  $\Lambda_t = t\Lambda_0 + (1-t)\Lambda$  and

$$A_t := A_{\Lambda_t} = tA_{\Lambda_0} + (1-t)A_{\Lambda} = (\frac{1}{2} + t(\lambda_1 - 1), \cdots, \frac{1}{2} + t(\lambda_{n-1} - 1), -\frac{1}{2}).$$

From the assumption that  $A \in \bar{\Gamma}_k^+$ , (8), and the convexity of  $\bar{\Gamma}_k^+$ , we have

(9) 
$$A_t \in \bar{\Gamma}_k^+ \quad \text{for } t > 0.$$

For any  $i \neq j$  and any vector A, we denote by (A|ij) the vector with the i-th and j-th components removed. Let  $\widetilde{\Lambda} = (\frac{1}{2}, \cdots, \frac{1}{2}, -\frac{1}{2})$  be an (n-1)-vector, and  $\Lambda^* = (\frac{1}{2}, \cdots, \frac{1}{2}, -\frac{1}{2})$  an (n-2)-vector. It is clear that  $\forall i \neq j, \quad i, j \leq n-1$ ,

$$\sigma_{k-1}(A_0|i) = \sigma_{k-1}(\widetilde{\Lambda}) > 0,$$

$$\sigma_{k-2}(A_0|ij) = \sigma_{k-2}(\Lambda^*) > 0.$$

Now we expand  $f(t) = \sigma_k(A_t)$  at t = 0. By (8), f(0) = 0. We compute

$$f'(0) = \sum_{i=1}^{n-1} (\lambda_i - 1) \sigma_{k-1}(A_0|i)$$

$$= \sigma_{k-1}(\widetilde{\Lambda}) \sum_{i=1}^{n-1} (\lambda_i - 1) = 0$$

and

$$f''(0) = \sum_{i \neq j} (\lambda_i - 1)(\lambda_j - 1)\sigma_{k-2}(A_0|ij)$$

$$= \sigma_{k-2}(\Lambda^*) \sum_{i \neq j} (\lambda_i - 1)(\lambda_j - 1)$$

$$= -\sigma_{k-2}(\Lambda^*) \sum_{i=1}^{n-1} (\lambda_i - 1)^2 < 0,$$

for  $\sigma_{k-2}(A_0|ij) = \sigma_{k-2}(\Lambda^*) > 0$  for any  $i \neq j$  and  $\sum_{i \neq j} (\lambda_j - 1) = (1 - \lambda_i)$ . Hence f(t) < 0 for small t > 0, which contradicts (9).

*Remark.* From the proof of Lemma 2, there is a constant C > 0, depending only on n and  $\frac{\sigma_{\frac{n}{2}}^{\frac{n}{2}}(A_{\Lambda})}{\sigma_{1}(A_{\Lambda})}$ , such that

$$\min_{i} \lambda_{i} \ge C \sigma_{\frac{n}{2}}^{\frac{2}{n}}(A_{\Lambda}).$$

*Proof of Theorem 1.* Theorem 1 follows directly from Lemmas 1 and 2. □

Corollary 2. Let (M,g) be an n-dimensional Riemannian manifold and  $k \ge n/2$ , and let  $N = M \times \mathbf{S}^1$  be the product manifold. Then N does not have positive  $\Gamma_k$ -curvature. If N has nonnegative  $\Gamma_k$ -curvature, then (M,g) is an Einstein manifold, and there are two cases: either k = n/2, or k > n/2 and (M,g) is a torus.

*Proof.* This follows from Lemmas 1 and 2.

Proof of Corollary 1. From Theorem 1, we know that the Ricci curvature  $Ric_g$  is nonnegative. Now we deform it by the Yamabe flow considered by Hamilton, Ye [15] and Chow [4] to obtain a conformal metric  $\tilde{g}$  of constant scalar curvature. The Ricci curvature  $Ric_{\tilde{g}}$  is nonnegative, for the Yamabe flow preserves the nonnegativity of the Ricci curvature, see [4]. Now, by a classification result given in [12, 3], we know that  $(M, \tilde{g})$  is isometric to either a space form or a finite quotient of a Riemannian  $\mathbf{S}^{n-1}(c) \times \mathbf{S}^1$  for some constant c > 0. In the latter case, it is clear that k = n/2, since otherwise it cannot have nonnegative  $\Gamma_k$ -curvature.

Next, we will prove that if M is locally conformally flat with positive  $\Gamma_{n-1}$ -curvature, then g has positive sectional curvature. If M is locally conformally flat, then by (1) we may decompose the full curvature tensor as

Riem = 
$$A_q \odot g$$
,

**Proposition 2.** Assume that n = 3, or that M is locally conformally flat. Then the Schouten tensor  $A_g \in V_{n-1}^+$  if and only if g has positive sectional curvature.

*Proof.* Let  $\pi$  be any 2-plane in  $T_p(N)$ , and let X,Y be an orthonormal basis of  $\pi$ . We have

$$\begin{split} K(\sigma) &= \mathrm{Riem}(X,Y,X,Y) = A_g \odot g(X,Y,X,Y) \\ &= A_g(X,X)g(Y,Y) - A_g(Y,X)g(X,Y) \\ &+ A_g(Y,Y)g(X,X) - A_g(X,Y)g(Y,X) \\ &= A_g(X,X) + A_g(Y,Y). \end{split}$$

From this it follows that

$$\min_{\sigma \in T_p N} K(\sigma) = \lambda_1 + \lambda_2,$$

where  $\lambda_1$  and  $\lambda_2$  are the smallest eigenvalues of  $A_q$  at p.

Corollary 3. If (M, g) is locally conformally flat with positive  $\Gamma_{n-1}$ -curvature, then g has positive sectional curvature.

*Proof.* This follows easily from Propositions 1 and 2.

### 3. Extremal metrics of $\sigma_k$ -curvature functionals

We next consider some properties of the functionals  $\mathcal{F}_k$  associated to  $\sigma_k$ . These functionals were introduced and discussed in [13], see also [7]. Further variational properties in connection to 3-dimensional geometry were studied in [9].

We recall that  $\mathcal{F}_k$  is defined by

$$\mathcal{F}_k(g) = \operatorname{Vol}(g)^{-\frac{n-2k}{n}} \int_M \sigma_k(g) \, d\operatorname{vol}(g).$$

We denote  $C_k = \{g \in [g_0] | g \in \Gamma_k^+\}$ , where  $[g_0]$  is the conformal class of  $g_0$ .

We now apply our results to show that if  $g_0 \in \Gamma_{\frac{n}{2}}^+$ , then there is an extremal metric  $g_e$  which minimizes  $\mathcal{F}_m$  for m < n/2, and if m > n/2, there is an extremal metric  $g_e$  which maximizes  $\mathcal{F}_m$ .

**Proposition 3.** Suppose  $(M, g_0)$  is locally conformally flat and  $g_0 \in \Gamma_k^+$  for some  $k \geq \frac{n}{2}$ . Then  $\forall m < \frac{n}{2}$ , there is an extremal metric  $g_e^m \in [g_0]$  such that

(10) 
$$\inf_{g \in \mathcal{C}_m} \mathcal{F}_m(g) = \mathcal{F}_m(g_e^m),$$

and  $\forall m > \frac{n}{2}$ , there is extremal metric  $g_e^m \in [g_0]$  such that

(11) 
$$\sup_{g \in \mathcal{C}_m} \mathcal{F}_k(g) = \mathcal{F}_k(g_e^m).$$

In fact, any solution to  $\sigma_m(g) = constant$  is an extremal metric.

*Proof.* First, by Corollary 1,  $(M, g_0)$  is conformal to a spherical space form. For any  $g \in \mathcal{C}_m$ , from [7] we know there is a conformal metric  $\widetilde{g}$  in  $\mathcal{C}_m$  such that  $\sigma_m(\widetilde{g})$  is constant and

- (a) if m > n/2, then  $\mathcal{F}_m(g) \leq \mathcal{F}_m(\widetilde{g})$ ,
- (b) if m < n/2, then  $\mathcal{F}_m(g) \geq \mathcal{F}_m(\widetilde{g})$ .

A classification result of [13] and [14], which is analogous to a result of Obata for the scalar curvature, shows that  $\tilde{g}$  has constant sectional curvature. Therefore  $\tilde{g}$  is the unique critical metric unless M is conformally equivalent to  $\mathbf{S}^n$ , in which case any critical metric is the image of the standard metric under a conformal diffeomorphism. This clearly implies the conclusion of the Proposition.

Next we consider the case k < n/2. We have

**Proposition 4.** Suppose  $(M, g_0)$  is locally conformally flat and  $g_0 \in \Gamma_k^+$  for some  $k < \frac{n}{2}$ . Suppose furthermore that for any fixed C > 0, the space of solutions to the equation  $\sigma_k = C$  is compact, with a bound independent of the constant C. Then there is an extremal metric  $g_e^k \in [g_0]$  such that

$$\inf_{g \in C_k} \mathcal{F}_k(g) = \mathcal{F}_k(g_e^k).$$

*Proof.* From the compactness assumption, there exists a critical metric  $g_e^k$  which has least energy. If the functional assumed a value strictly lower than  $\mathcal{F}_k(g_e^k)$ , then by [7], the flow would decrease to another solution of  $\sigma_k = constant$ , which is a contradiction since  $g_e^k$  has minimal energy.

We conclude with conformal quermass integral inequalities, which were conjectured in [7], and verified there for some special cases when (M,g) is locally conformally flat and  $g\in \Gamma_{\frac{n}{2}-1}^+$  or  $g\in \Gamma_{\frac{n}{2}+1}^+$  using the flow method. In the case of k=2, n=4, the inequality was proved in [8] without the locally conformally flat assumption.

**Proposition 5.** Suppose  $(M, g_0)$  is locally conformally flat and  $g_0 \in \Gamma_k^+$  for some  $k \geq \frac{n}{2}$ . Then for any  $1 \leq l < \frac{n}{2} \leq k \leq n$  there is a constant C(k, l, n) > 0 such that for any  $g \in [g_0]$  and  $g \in \Gamma_k^+$ ,

$$(\mathcal{F}_k(g))^{1/k} \le C(k, l, n) (\mathcal{F}_l(g))^{1/l},$$

with equality if and only if (M, g) is a spherical space form.

*Proof.* By Proposition 3, we have a conformal metric  $g_e$  of constant sectional curvature such that

$$\inf_{g \in \mathcal{C}_l} \mathcal{F}_l(g) = \mathcal{F}_l(g_e)$$

and

$$\sup_{g \in \mathcal{C}_k} \mathcal{F}_k(g) = \mathcal{F}_k(g_e).$$

Hence, for any  $g \in \Gamma_k^+$  we have

$$\frac{(\mathcal{F}_k(g))^{1/k}}{(\mathcal{F}_l(g))^{1/l}} \leq \frac{(\mathcal{F}_k(g_e))^{1/k}}{(\mathcal{F}_l(g_e))^{1/l}} 
= \frac{(l!(n-l)!)^{1/l}}{(k!(n-k)!)^{1/k}}.$$

When the equality holds, g is an extremal of  $\mathcal{F}_l$ , hence a metric of constant sectional curvature by [13].

#### References

- [1] A. Besse, Einstein manifolds, Springer-Verlag, Berlin, 1987. MR 88f:53087
- [2] A. Chang, M. Gursky and P. Yang, An equation of Monge-Ampère type in conformal geometry, and four manifolds of positive Ricci curvature, to appear in Ann. of Math.
- [3] Q.-M. Cheng, Compact locally conformally flat Riemannian manifolds. Bull. London Math. Soc. 33 (2001), no. 4, 459–465. MR **2002g:**53045
- [4] B. Chow, The Yamabe flow on locally conformally flat manifolds with positive Ricci curvature, Comm. Pure Appl. Math. 45 (1992), no. 8, 1003-1014. MR 93d:53045
- [5] L. Garding, An inequality for hyperbolic polynomials, J. Math. Mech. 8 (1959), 957–965. MR 22:4809
- [6] P. Guan and G. Wang, Local estimates for a class of fully nonlinear equations arising from conformal geometry, preprint, August, 2001.
- [7] P. Guan and G. Wang, A fully nonlinear conformal flow on locally conformally flat manifolds, preprint, October, 2001.
- [8] M. Gursky, The principal eigenvalue of a conformally invariant differential operator, with an application to semilinear elliptic PDE, Comm. Math. Phys. 207 (1999), 131–143. MR 2000k:58029

- [9] Matthew J. Gursky and Jeff A. Viaclovsky, A new variational characterization of threedimensional space forms, Inventiones Mathematicae 145 (2001), no. 2, 251–278. MR 2002j:53039
- [10] A. Li and Y. Li, On some conformally invariant fully nonlinear equations, C. R. Math. Acad. Sci. Paris 334 (2002), 305–310.
- [11] R. Schoen and S. T. Yau, Conformally flat manifolds, Kleinian groups and scalar curvature, Invent. Math. 92 (1988), no. 1, 47–71. MR 89c:58139
- [12] M. Tani, On a conformally flat Riemannian space with positive Ricci curvature. Tohoku Math. J. (2) 19 1967 227–231. MR 36:3279
- [13] Jeff A. Viaclovsky, Conformal geometry, contact geometry and the calculus of variations, Duke J. Math. 101 (2000), no. 2, 283-316. MR 2001b:53038
- [14] Jeff A. Viaclovsky, Conformally invariant Monge-Ampère equations: global solutions, Trans. Amer. Math. Soc. 352 (2000), no. 9, 4371–4379. MR 2000m:35067
- [15] R. Ye, Global existence and convergence of Yamabe flow, J. Differential Geom. 39 (1994), no. 1, 35–50. MR 95d:53044

Department of Mathematics, McMaster University, Hamilton, Ontario L8S 4K1, Canada

E-mail address: guan@math.mcmaster.ca

Department of Mathematics, Massachusetts Institute of Technology, Cambridge, Massachusetts

 $E ext{-}mail\ address: jeffv@math.mit.edu}$ 

Max-Planck-Institute for Mathematics in the Sciences, Inselstrasse 22-26, 04103 Leipzig, Germany

E-mail address: gwang@mis.mpg.de